FORM D

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

FORM D

Washington, D.C. 20549

13675	594
OME	Approval
OMB Number:	3235-0076
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Estimated average bu	rden
hours per response	1

SEC USE ONL

Serial

Prefix

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D. SECTION 4(6), AND/OR

DATE RECEIVED UNIFORM LIMITED OFFERING EXEMPTION Name of Offering (check if this is an amendment and name has changed, and indicate change.) apcpindb) An offering of A Interests, C Interests and I Interests **Rule** 506 Filing Under (Check box(es) that apply):

Rule 504 ☐ Rule 505 ☐ Section 4(6) □ ULOB Type of Filing: New Filing Amendment A. BASIC IDENTIFICATION DATA 1. Enter the information requested about the issuer (check if this is an amendment and name has changed, and indicate change.) Name of Issuer Strategic Commodities II ASW Fund, a Series of Wachovia Alternative Strategies Managed Frances Commodities Platform LLC Telephone Number (Including Area Code) Address of Executive Offices (Number and Street, City, State, Zip Code) (704) 383-6369 401 S. Tryon Street, TH3, Charlotte, North Carolina 28288-1157 Telephone Number (Including Area Code) Address of Principal Business Operations (Number and Street, City, State, Zip Code) (if different from Executive Offices) Brief Description of Business Ç. Investment Fund Type of Business Organization other (please specify) Limited Liability Company limited partnership, already formed corporation limited partnership, to be formed business trust Month Year_ Actual or Estimated Date of Incorporation or Organization: 2005 Estimated Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State; DE dej. CN for Canada; FN for other foreign jurisdiction) GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6)

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where To File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure To file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.



PROCESSED DEC 2 8 2007 **THOMSON** FINANCIAL

A. BASIC IDENTIFICATION DATA
2. Enter the information requested for the following:
• Each promoter of the issuer, if the issuer has been organized within the past five years;
 Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
 Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Wachovia Alternative Strategies, Inc.
Business or Residence Address (Number and Street, City, State, Zip Code)
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Taback, Adam I.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Ferro, Dennis H.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Munn, W. Douglas
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Koonce, Michael H.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive Officer ☐ Director ☐ General and/or Managing Partner
Full Name (Last name first, if individual)
Moss, Matthew C.
During a Paridage Address (Must an and Carest City Code)
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934 Check Box(es) that Apply:
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934 Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934 Check Box(es) that Apply:

A. BASIC IDENTIFICATION DATA
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 Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the
issuer;
Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Lapple, Barbara Ann
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Nakano, Yukari
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Patterson, Britta
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Ballantine, Jacqueline Business or Residence Address (Number and Street, City, State, Zip Code)
123 Broad Street, Philadelphia, PA 19109
Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☒ Executive Officer ☐ Director ☐ General and/or Managing Partner
Full Name (Last name first, if individual)
Coltrin, Robert D.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Curry, Barbara R.
Business or Residence Address (Number and Street, City, State, Zip Code)
201 S. College Street, Charlotte, North Carolina 28202
Check Box(es) that Apply:
Full Name (Last name first, if individual)
DeBerry, Jerry W.
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Wachovia Alternative Strategies, Inc., 201 S. College Street, Charlotte, North Carolina 28202
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Ernhart, Danielle B.
Business or Residence Address (Number and Street, City, State, Zip Code)

A. BASIC IDENTIFICATION DATA	
2. Enter the information requested for the following:	
 Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity secu 	rities of the
issuer;	
 Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and 	
Each general and managing partner of partnership issuers	. D. Maria
Check Box(es) that Apply:	ing Partner
Full Name (Last name first, if individual)	
Lipsett, Lloyd Business or Residence Address (Number and Street, City, State, Zip Code)	
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Management	ging Partner
Full Name (Last name first, if individual)	
Mullis, Carol	
Business or Residence Address (Number and Street, City, State, Zip Code)	
c/o Wachovia Alternative Strategies, Inc., 301 S. Tryon Street, TH3, Charlotte, North Carolina 28202-6000	
Check Box(es) that Apply:	ng Partner
Full Name (Last name first, if individual)	
Quellette, Kevin	<u> </u>
Business or Residence Address (Number and Street, City, State, Zip Code)	N
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116	
Check Box(es) that Apply:	ging Partner
Full Name (Last name first, if individual)	1
Schwartz, William H.	
Business or Residence Address (Number and Street, City, State, Zip Code)	
123 Broad Street, Philadelphia, PA 19109 Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Mana	ging Partner
Check Box(es) that Apprix.	
Full Name (Last name first, if individual)	
Sweetman, James W.	
Business or Residence Address (Number and Street, City, State, Zip Code)	
401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934	Dominar
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Manag	ing raither
Full Name (Last name first, if individual)	
Nicolosi, Sean	
Business or Residence Address (Number and Street, City, State, Zip Code)	
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Manage	ung Partner
Full Name (Last name first, if individual)	
Veverka, Brian	
Business or Residence Address (Number and Street, City, State, Zip Code)	
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, North Carolina 28202-1934	
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Manage	ung Partner
Full Name (Last name first, if individual)	
Mazitova, Natalia	
Business or Residence Address (Number and Street, City, State, Zip Code)	
c/o Wachovia Alternative Strategies, Inc., 401 S. Tryon Street, TH3, Charlotte, NC 28288-1157	

2. Enter the information requested for the following:
• Each promoter of the issuer, if the issuer has been organized within the past five years;
• Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer;
 Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and
Each general and managing partner of partnership issuers
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Kumar, Anil
Business or Residence Address (Number and Street, City, State, Zip Code)
c/o Evergreen Investment Management Company, LLC, 200 Berkeley Street, Boston, MA 02116
Check Box(es) that Apply:
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner
Full Name (Last name first, if individual)
Business or Residence Address (Number and Street, City, State, Zip Code)
Business of Residence Address (Number and Street, City, State, 21) Code)
Check Box(es) that Apply:
Full Name (Last name first, if individual)
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Business or Residence Address (Number and Street, City, State, Zip Code)
Business or Residence Address (Number and Street, City, State, Zip Code)
Check Box(es) that Apply:
Check Box(es) that Apply: Promoter Beneficial Owner Executive Officer Director General and/or Managing Partner Full Name (Last name first, if individual)
Check Box(es) that Apply:
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Check Box(es) that Apply:

					B. INF	ORMAT	ION ABO	OUT OF	FERING					
1.	Has the	issuer sold (or does the	issuer inte	end to sell,	to non-acc	redited inve	estors in th	is offering	?		Yes □	No ⊠	
				Answer	also in Ap	pendix, Co	lumn 2, if f	filing under	r ULOE					
2.	What is the n	ninimum in	vestment tl	hat will be	accepted fr	rom any ind	dividual?					\$100,00	00*	
	*May be	e waived			-									
3.	Does the offe		joint own	ership of a	single unit	?							Yes ⊠	No
4.	Enter the info any commiss the offering. SEC and/or v listed are ass dealer only.	ion or simil If a person with a state o	ar remuner to be lister or states, li	ration for so is an asso st the name	olicitation ciated pers of the bro	of purchase son or agen oker or deal	ers in conne it of a broke ler. If more	ection with er or dealer than five	sales of so registered (5) person	ecurities in I with the s to be				
	Name (Last n		individua	1)							•			
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	siness or Resid		ss (Numbe	r and Stree	t, City, Sta	ite, Zip Coo	de)	•				1		
	South Tryo		r Dealer	 				•				<u> </u>		· · · · · · · · · · · · · · · · · · ·
	ne of Associati arlotte, Nort								45.					
	tes in Which P			ited or Inte	ends to Sol	icit Purcha	sers					<u>_</u>	3.5	
(Ch	eck "All State	s" or check	individual	States)	•••••	· -							. 🔲 All Sta	ates
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	l East Byrd	•								1.)-	 			
	ne of Associat chmond, VA		r Dealer								•			
	tes in Which P		1 Has Solid	ited or Inte	ends to Sol	icit Purcha	sers			•			·	
(Ch	eck "All State	s" or check	individual	States)									🖾 All St	ates
[AL [IL] [M] [RI]	T) [NE]	[AZ] [IA] [NV] [SD]	[AR] [KS] [NH] [TN]	(CA] [KY] [NJ] [TX]	[CO] [LA] [NM] [UT]	[CT] [ME] [NY] [VT]	[DE] [MD] [NC] [VA]	[DC] [MA] [ND] -	[FL] [MI] [OH] [WV]	[GA] [MN] [OK] [WI]	[HI] [MS] [OR] [WY]	[ID] [MO] [PA] [PR]	·	
	l Name (Last r				<u> </u>		<u> </u>		<u> </u>					-
Bus	siness or Resid	ence Addre	ss (Numbe	r and Stree	t, City, Sta	nte, Zip Co	de)							<u>-</u>
Nan	me of Associat	ed Broker o	or Dealer	-					•		•		••••	
	tes in Which P teck "All State					icit Purcha	sers						All S	tates
[AL [IL] [M]] [IN] T] [NE]	[AZ] [IA] [NV]	[AR] [KS] [NH]	[CA] [KY] [NJ]	[CO] [LA] [NM]	[CT] [ME] [NY]	[DE] [MD] [NC]	[DC] [MA] [ND]	[FL] [MI] [OH]	[GA] [MN] [OK]	[HI] [MS] [OR]	[ID] [MO] [PA]		

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

C. OFFERING PRICE, NO. OF INVESTORS, EXPENSES AND US	E OF PROCEEDS	
Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the column below the amounts of the securities offered for exchange and already exchanged.		
Type of Security	Aggregate Offering Price	Amount Already Sold
Debt	\$0	\$0
Equity	\$0	\$0
☐ Common ☐ Preferred	\$0	\$0
Convertible Securities (including warrants)	\$0	\$0
Partnership Interests	\$0	\$0
Other (Specify: Limited Liability Company Interests	\$No Maximum	\$33,627,200
Total	\$No Maximum	\$33,627,200
		
Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number	Aggregate Dollar
		Amount of Purchases
		\$33,627,200
		0
Total (for filing under Rule 504 only)	4 ,	,
Answer also in Appendix, Column 4, if filing under ULOE	•	1 .
securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months	·	ne Mas
Question 1.	•	
Type of offering	Type of Security	Dollar Amount Sold
Rule 505	`. N/A	N/A
Regulation A	N/A	Ņ/A
Rule 504	N/A	N/A
Total	N/A	N/A
Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
Transfer Agent's Fees		\$0
Printing and Engraving Costs		\$0
Legal Fees		\$50,000
Accounting Fees		\$0
		\$0
		\$1,500,000
Other Expenses (identify): Blue Sky Fees, miscellaneous		\$15,000
Total		\$1,565,000
	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box — and indicate in the column below the amounts of the securities offered for exchange and already exchanged. Type of Security Debt	already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box — and indicate in the column below the amounts of the securities offered for exchange and already exchanged. Type of Security Debt

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPE	NSES AND USE OF PROCEEDS		
 b. Enter the difference between the aggregate offering price g total expenses furnished in response to Part C-Question 4. proceeds to the issuer." *expenses estimated on \$100,000,000 offering amount 			\$98,435,000
 Indicate below the amount of the adjusted gross proceeds for each of the purposes shown. If the amount for any purpose check the box to the left of the estimate. The total of the gross proceeds to the issuer set forth in response to Part C-Q 	pose is not known, furnish an estimate and payments listed must equal the adjusted	Payments to	
		Officers, Directors, & Affiliates	Payments To Others
Salaries and Fees	ry and equipments	□ \$0 □ \$0 □ \$0 □ \$0	\$0
Repayment of indebtedness		\$0 \$98,435,000 \$0 \$0 \$0	\$0 \$ \$ \$0 \$0 \$ \$ \$8,435,000
D. FEDDO	AL SIGNATIVED		
D. FEDERATHE issuer has duly caused this notice to be signed by the under the following signature constitutes an undertaking by the issue written request of its staff, the information furnished by the issue 502.	er to furnish to the U.S. Securities and Ex	change Commission, u	ipon
Issuer (Print or Type) Strategic Commodities II ASW Fund, a Series of Wachovia Alternative Strategies Managed Futures & Commodities Platform, LLC	Signature	Date Decembe	rl3, 2007
Name of Signer (Print or Type) Anil Kumar	Title of Signer (Print or Type) Vice President of Wachovia Altern Managing Member of Wachovia A Managed Futures & Commodities	Alternative Strategie	
ATT	ENTION		
Intentional misstatements or omissions of fact con	stitute federal criminal violations. (See 1	8 U.S.C. 1001.)	

	E. STATE SIGNATURE		
1.	Is any party described in 17 CFR 230.262 presently subject to any of the disqualificationYes	No	
	provisions of such rule?	lacktriangle	

See Appendix, Column 5, for state response

- The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed, a notice on Form D (17 CFR 239.500) at such times as required by state law.
- 3. The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees.
- 4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform Limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.

The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

Issuer (Print or Type)	Signature	Date
Strategic Commodities II ASW Fund, a Series of Wachovia Alternative Strategies Managed Futures & Commodities Platform, LLC	100	December / ?, 2007
Name of Signer (Print or Type)	Title of Signer (Print or Type)	
Anil Kumar	Vice President of Wachovia Alternative Member of Wachovia Alternative Strate Commodities Platform, LLC	

Instruction:

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

APPENDIX

1	1	2	3		4				5	
	non-a	d to sell to accredited ors in State B-Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of Inve amount purcha (Part C-It	sed in State		Disqualification under State ULOE (If yes, attach explanation of waiver granted) (Part E-Item 1)		
State	Yes	No	Interests of Limited Liability Company	Number of Accredited Investors	Amount	Number of Nonaccredite d Investors	Amount	Yes	No	
AL		Х	All	2	\$380,000				X	
AK		х	All						X	
AZ	 	Х	Ail	<u>-</u>					Х	
AR	1	х	All						х	
CA		x	All	2	\$400,000				х	
СО	 	х	All						X	
ст	1	Х	All	· 1	\$160,000			;	х	
DE	1	Х	, All	2	\$370,000	-1			Χ.	
DC	1	Х	. All	5	\$1,662,500				χ.	
FL	1	Х	[;] АП	18	\$6,876,000	:			Х	
GA	1	х	All	9	\$1,597,000				Х	
HI	1 .	X,	All	.1	\$600,000		,		X	
ID	1	Х	All	3.				i.	X	
IL		x	All	. 1	\$98,500			•	X	
IN	 	· X	All .	3	\$540,000		, +	· ·	Х	
IA	 	Х	All						Х	
KS	1	X	All	•		- 1			Х	
KY		X	All	2	\$250,000		. ,		Х	
LA		х	All	1	\$150,000		.,		Х	
MA		Х	All	2	\$224,000				Х	
ME		X	All						Х	
MD	1	Х	Ail	2	\$1,500,000				Х	
MI	<u> </u>	Х	All	3	\$232,000		-		Х	
MN		Х	All			-			X	
MS		X	All						Х	
МО	1	X	All			<u> </u>			Х	
MT	1	х	All		٠,	<u> </u>			X	
NE		х	All						x	
NV		х	All	2	\$200,000				х	
NH		Х	Ali						х	
NJ		Х	All	5	\$2,334,000		, , , , , , , , , , , , , , , , , , , ,	··-	Х	
NM	<u> </u>	Х	All	3	\$220,000				Х	
NY	<u> </u>	х	All	6	\$1,448,000				X	
NC	1	Х	Ali	23	\$3,666,000				Х	
ND		Х	All						Х	
ОН	1	х	All	4	\$450,000				х	
OK	1	Х	All	1	\$100,000				Х	
OR	1	Х	Ail						х	
PA	1	х	All	23	\$4,386,000				Х	
RI	1	Х	All		i				X	
					I			L		

APPENDIX

1		2	3			5					
	non- invest	d to sell to accredited ors in State B-Item 1)	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of Invo amount purcha (Part C-It	sed in State		State ULC attach exp waiver	Disqualification under State ULOE (If yes, attach explanation of waiver granted) (Part E-Item 1)		
State	Yes	No	Interests of Limited Liability Company	Number of Accredited Investors	Amount	Number of Nonaccredite d Investors	Amount	Yes	No		
SC		X	All	8	\$2,285,000		·		Х		
SD		Х	All						Х		
TN		Х	All	1	\$125,000				Х		
TX		Х	All	2	\$1,808,000				Х		
UT		Х	All	1	\$100,000				Х		
VT	-	х	All						Х		
VA	-	Х	All	6	\$1,840,000			,	Х		
WA	1	X	Ali						X		
wv	1 "	Х	All						Х		
WI		Х	All	.3.4		12.			. X		
WY		X	All	140		, è 4 ,			Х		
PR		1							Х		

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